

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10687439
Filing Date		2003-10-14
First Named Inventor	Dale Malik	
Art Unit	2154	
Examiner Name	Viet Duy Vu	
Attorney Docket Number	190250-1560	

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	1	5944787		1999-08-31	Zoken	
	2	5999932		1999-12-07	Paul	
	3	6052709		2000-04-18	Paul	
	4	6161130		2000-12-12	Horvitz , et al.	
	5	6192360		2001-02-20	Dumais, et al.	
	6	6249805		2001-06-19	Fleming, III	
	7	6266692		2001-07-24	Greenstein	
	8	6321267		2001-11-20	Donaldson	

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9	6442588		2002-08-27	Clark, et al.	
10	6480885		2002-11-12	Olivier	
11	6654787		2003-11-25	Aronson, et al.	
12	6654800		2003-11-25	Rieger, III	
13	6732157		2004-05-04	Gordon, et al.	
14	6757740		2004-06-29	Parekh, et al.	
15	6782510		2004-08-24	Gross, et al.	
16	6842773		2005-01-11	Raiston, et al.	
17	6854014		2005-02-08	Amin, et al.	
18	6968571		2005-11-22	Devine, et al.	
19	7155484		2006-12-26	Malik	

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	3	20020059454		2002-05-16	Barrett, et al.	
	4	20020073233		2002-06-13	Gross, et al.	
	5	20020107712		2002-08-08	Lam, et al.	
	6	20020199095		2002-12-26	Bandini, et al.	
	7	20030144842		2003-07-31	Addison, et al.	
	8	20030172196		2003-09-11	Hejlsberg, et al.	
	9	20030233418		2003-12-18	Goldman	

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10	20040015554	2004-01-22	Wilson	
11	20040039786	2004-02-26	Horvitz, et al.	
12	20040054733	2004-03-18	Weeks	
13	20040064537	2004-04-01	Anderson, et al.	
14	20040073617	2004-04-15	Miliken, et al.	
15	20040093384	2004-05-13	Shipp	
16	20040117451	2004-06-17	Chung	
17	20040167964	2004-08-26	Rounthwaite, et al.	
18	20040181581	2004-09-16	Kosco	
19	20050022008	2005-01-27	Goodman, et al.	
20	20050050150	2005-03-03	Dinkin	

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7	"Understanding SMTP", How E-Mail Works, Marshall Brian's How Stuff Works, 1 page	<input type="checkbox"/>
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11	"The Simplicity of E-mail", How E-mail Works, Marshall Brian's How Stuff Works, 1 page	<input type="checkbox"/>
12	"E-mail Glossary", Everything E-mail, Internet Mail Services Network, Inc., Ipswich, MA, 3 pages	<input type="checkbox"/>
13	"How Does Email Work?", Yale University Library Workstation Support Group, 4 pages	<input type="checkbox"/>
14	"How Does Email Work?", IATS Computing Knowledge Base, University of Missouri, Columbia, 4 pages	<input type="checkbox"/>
15	"What is an E-mail message", How E-mail works, Marshall Brian's How Stuff Works, 2 pages	<input type="checkbox"/>
16	GRAHAM: "A Plan for Spam", <a href="http://www.paulgrahm.com/spam.html">www.paulgrahm.com/spam.html</a> ; printed 6/23/03, pages 1-13	<input type="checkbox"/>
17	GRAHAM: "Better Bayesian Filtering", <a href="http://www.paulgrahm.com/better.html">www.paulgrahm.com/better.html</a> , printed 6/26/2003, pages 1-11	<input type="checkbox"/>

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18	SHAMI; "A Bayesian Approach to Filtering Junk E-mail", Stanford University, Stanford, CA, pages 1-8	<input type="checkbox"/>
19	RIVER; The MD5 Message-Digest Algorithm, Internet RFC 1321, April 1992, pages 1-21	<input type="checkbox"/>
20	MALIK; U.S. Patent Application serial no. 11/466,948 filed 08-24-2006 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
21	DANIELL; U.S. Patent Application serial no. 10/685,656 filed 10-14-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
22	MALIK; U.S. Patent Application serial no. 10/610,736 filed 06-30-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
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26	DANIELL; U.S. Patent Application serial no. 10/686,293 filed 10-14-2003 (NOTE: Copy not provided as it is part of PTO records)	<input type="checkbox"/>
27	DANIELL; U.S. Patent Application serial no. 10/696,346 filed 10-14-2003 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>
28	MALIK; U.S. Patent Application serial no. 10/005,641 filed 12-05-2001 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>

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29	MALIK; Non- Final Rejection mailed 03-09-2007; serial no. 11/466,948 filed 08-24-2006 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
30	MALIK; Final Rejection mailed 09-26-2007; serial no. 11/466,948 filed 08-24-2006 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
31	DANIELL; Non- Final Rejection mailed 10-30-2007; serial no. 10/685,656 filed 10-14-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
32	DANIELL; Examiner Interview Summary Record mailed 12-21-2007; serial no. 10/685,656 filed 10-14-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
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34	MALIK; Examiner Interview Summary Record mailed 12-21-2004; serial no. 10/610,736 filed 06-30-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
35	MALIK; Final Rejection mailed 05-25-2005; serial no. 10/610,736 filed 06-30-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
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40	MALIK; Examiner Interview Summary Record mailed 07-06-2006; serial no. 10/610,736 filed 06-30-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
41	MALIK; Notice of Allowance and Fees Due mailed 07-06-2006; serial no. 10/610,736 filed 06-30-2003 (NOTE: Copy not provided as part of PTO records)	<input type="checkbox"/>
42	DANIELL; Non- Final Rejection mailed 01-02-2008; serial no. 10/685,558 filed 10-14-2003 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>
43	DANIELL; Non- Final Rejection mailed 02-05-2007; serial no. 10/686,293 filed 10-14-2003 (NOTE: Copy not provided as it is part of PTO records)	<input type="checkbox"/>
44	DANIELL; Examiner Interview Summary Record mailed 03-30-2007; serial no. 10/686,293 filed 10-14-2003 (NOTE: Copy not provided as it is part of PTO records)	<input type="checkbox"/>
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46	DANIELL; Non- Final Rejection mailed 12-05-2007; serial no. 10/686,293 filed 10-14-2003 (NOTE: Copy not provided as it is part of PTO records)	<input type="checkbox"/>
47	DANIELL; Non- Final Rejection mailed 01-25-2008; serial no. 10/686,293 filed 10-14-2003 (NOTE: Copy not provided as it is part of PTO records)	<input type="checkbox"/>
48	DANIELL; Non- Final Rejection mailed 03-22-2007; serial no. 10/686,346 filed 10-14-2003 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>
49	DANIELL; Final Rejection mailed 12-12-2007; serial no. 10/686,346 filed 10-14-2003 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>
50	MALIK; Non- Final Rejection mailed 05-16-2005; serial no. 10/005,641 filed 12-05-2001 (NOTE: Copy not provided as it is a part of PTO records)	<input type="checkbox"/>

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Examiner Signature	/Viet Vu/	Date Considered	02/26/2008
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.16. Please see CFR 1.4(d) for the form of the signature.

Signature	/CWG/	Date (YYYY-MM-DD)	2008-02-21
Name/Print	Charles W. Griggers	Registration Number	47283

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